



Standard Guide for Laboratories Engaged in Sampling and Analysis of Atmospheres and Emissions¹

This standard is issued under the fixed designation D3614; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

INTRODUCTION

The utilization of well tested and uniform laboratory practices is essential to the production of reliable and defensible environmental data whose validity can be demonstrated at a later date through the use of written field and laboratory records. This document is intended to provide general guidelines for the elements of laboratory practices that are considered to be basic to the performance of laboratories that provide services in the sampling and analysis of atmospheres and emissions. This document is intended to stimulate an awareness of good laboratory and field practices.

1. Scope

1.1 This guide covers criteria to be used by those responsible for the selection, evaluation, operation, and control of laboratory organizations engaged in sampling and analysis of environmental atmospheres, including ambient, work space, and source emissions, as well as atmospheric deposition samples. For details specific to stack gases, see Practice [D7036](#), which covers administrative issues in full; several specifics in this guide regarding laboratory operations may yet be helpful and do not overlap with Practice [D7036](#).

1.2 This guide presents features of organizations, facilities, resources, and operations which by their selection and control affect the reliability and credibility of the data generated.

1.3 This guide presents the criteria for the selection and control of the features listed in [1.2](#) so that acceptable performance may be attained and sustained. Also, this guide presents recommendations for the correction of unacceptable performance.

1.4 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

¹ This guide is under the jurisdiction of ASTM Committee [D22](#) on Air Quality and is the direct responsibility of Subcommittee [D22.01](#) on Quality Control.

Current edition approved April 1, 2013. Published April 2013. Originally approved in 1977. Last previous edition approved in 2007 as D3614 - 07. DOI: 10.1520/D3614-07R13.

2. Referenced Documents

2.1 *ASTM Standards*:²

[D1356 Terminology Relating to Sampling and Analysis of Atmospheres](#)

[D1357 Practice for Planning the Sampling of the Ambient Atmosphere](#)

[D3249 Practice for General Ambient Air Analyzer Procedures](#)

[D3670 Guide for Determination of Precision and Bias of Methods of Committee D22](#)

[D7036 Practice for Competence of Air Emission Testing Bodies](#)

3. Terminology

3.1 *Definitions*—For definitions of terms used in this guide, see Terminology [D1356](#).

3.2 Other terms are defined as follows:

3.3 *accrediting authority*—a body that evaluates the capability of a testing agency, or an inspection agency, or both, in certain specific fields of activity.

3.4 *agency*—an organization or part of an organization, engaged in the activities of testing or inspection, or both.

3.5 *generic criteria*—common characteristics pertaining to organization, human resources, material resources, and quality

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

systems which provide a basis for assessing the qualifications of testing or inspection agencies.

3.6 *human resources*—those elements of support or capability that are provided by humans using their mental and physical capabilities.

3.7 *inspection*—the process of measuring, examining, testing, gaging, or otherwise evaluating materials, products, services, systems, or environments.

3.8 *organizational component*—a portion of an organization with specific tasks and activities that constitutes a part of the total effort and accomplishment of the organization.

3.9 *quality*—the totality of features and characteristics of a product or service that bear on its ability to satisfy a given need.

3.10 *quality assurance*—a system of activities whose purpose is to provide assurance that the overall quality control job is in fact being done effectively. The system involves a continuing evaluation of the adequacy and effectiveness of the overall quality control program (see *quality control*) with a view to having corrective measures initiated where necessary. For a specific product or service, this involves verifications, audits, and the evaluation of the quality factors that affect the specification, production, inspection, and use of the product or service.

3.11 *quality control*—the overall system of activities whose purpose is to provide a quality of product or service that meets the needs of users; also, the use of such a system. The aim of quality control is to provide quality that is satisfactory, adequate, dependable, and economic. The overall system involves integrating the quality aspects of several related steps including: (1) the proper specification of what is wanted; (2) production to meet the full intent of the specification; (3) inspection to determine whether the resulting product or service is in accord with the specifications; and (4) review of usage to provide for revision of specification.

3.12 *testing*—the determination by technical means of properties, performance, or elements of materials, products, services, systems, or environments which involve application of established scientific principles and procedures.

4. Summary of Guide

4.1 This guide describes the criteria, practices, and recommendations for the physical resources, data validation, and mode of operation of the laboratory.

5. Significance and Use

5.1 Data on the composition and characteristics of environmental atmospheres, such as ambient or work space air, are frequently used to evaluate the health and safety of humans. Data on the composition of atmospheric deposition samples are often used for environmental impact assessment.

5.2 These data are frequently used to ascertain compliance with regulatory statutes that place limits on acceptable compositions and characteristics of these atmospheres.

5.3 Laboratories that produce environmental sampling and analysis data and those who have the responsibility of selecting

a laboratory to perform air quality studies need to know what criteria, practices, and recommendations have been accepted by consensus within this field of endeavor.

5.4 Demonstration and documentation by a laboratory that there is judicious selection and control of organizational factors, facilities, resources, and operations enhance the reliability of the data produced and promote the acceptance of these data.

6. Responsibilities and Duties of the Laboratory

6.1 The purpose of the laboratory is to provide information that is factual, accurate, reliable, and adequate for its purpose. The procedure by which this is to be achieved is by the effective administration of a quality assurance (QA) plan by the management of the organization. The elements of a quality assurance plan are described in 6.1.1-6.1.6.1.

6.1.1 *Organization*—A table of organization which indicates the organizational structure and the lines of authority, areas of responsibility, and job descriptions should be available. Key personnel, including their workplace locations and phone numbers, should be identified for each organizational entity. Separate organizational charts for subcontractors might also be needed. QA managers should be identified along with their relationships to other project personnel. The QA managers should be organizationally independent of project management so that the risk of conflict of interest is minimized.

6.1.1.1 *Human Resources*—The key personnel of the organization should be described by means of personal résumés presenting the applicable education and work experience relative to his or her position in the table of organization and the requirements of that position.

6.1.1.2 *Physical Resources*—The laboratory facilities should provide a working environment that is clean, air-conditioned, heated, well-lighted, and safe. The instrumentation and equipment should be appropriate to the operational needs of the laboratory.

6.1.2 *Methodology*—Written procedures should be readily available to all personnel.

6.1.2.1 Sample collection and handling procedures, and storage requirements should be written.

6.1.2.2 Calibration and standardization procedures should be written.

6.1.2.3 Standard Operating Procedures (SOPs) and analytical methods should be written.

6.1.2.4 There should be a document control system to assure that the written procedures are current and complete.

6.1.2.5 All of the above should be periodically subjected to performance and system audits.

6.1.3 *Metrology Systems*—All systems for making measurements should have the following features:

6.1.3.1 Calibration and standardization procedures, including a description of a procedure for establishing traceability, description of calibration standards, and a schedule for calibration,

6.1.3.2 Preventative maintenance procedures including a schedule for maintenance intervals and documentation of their proper completion, and

6.1.3.3 Records of modification of configuration that may occur in any measurement system due to repair and servicing of equipment, replacement of components or reagents, or change of procedures.

6.1.4 *Data Recording*—The laboratory should keep records of submitted samples and completed analyses in a manner that provides for the retrievability, preservation and traceability of the sample source, the procedures used, and the person or persons responsible for the sampling and analysis.

6.1.4.1 All laboratory data sheets should be dated and signed by the analyst.

6.1.4.2 A policy for the use of computers for data acquisition, archiving, and mathematical calculations should be implemented.

6.1.5 *Data Validation*—The laboratory should keep records of analytical performance by means of audit procedures, reference sample programs, and interlaboratory tests. Where applicable, quality control charts should be used to report results from these validation activities. Quality control procedures found in most current methods should be followed. **(1)**³

6.1.6 *Deficiency Correction*—The organizational system should provide the authority and the responsibility for a designated person or persons to investigate out of control procedures and to inform the laboratory management of the problems that occur. This is often the responsibility of the QA manager.

6.1.6.1 A current log should be maintained of such deficiencies and the action taken to correct them.

7. Organization

7.1 The production of reliable data is dependent upon the conscientious effort of everyone who has any involvement with the service. Therefore, it is important that each member of the organization have a clear-cut understanding of his or her duties and responsibilities, and their relationship to the total effort. The management of the laboratory has a prime responsibility in defining the policy goals in relation to the quality of performance and assigning the specific areas of responsibility to the individual. The human resources that are required for the operation of the laboratory will vary with the specific functions that are to be served, but the minimum personnel and their qualifications should generally be as follows:

7.2 Human Resources **(2)**

7.2.1 *The Director*—The laboratory director should be a full-time employee of the organization that operates the laboratory. He or she should have a minimum of an earned baccalaureate degree in science or engineering from an accredited college or university or the equivalent (see **Note 1**) with a minimum of 5 years experience in sampling and analysis of atmospheres or in a related field. The director should have the following responsibilities:

7.2.1.1 Selection and approval of methods of sampling and analysis,

7.2.1.2 Implementation of a quality assurance program to describe the quality of technical data,

7.2.1.3 Development of standards of performance and evaluation of personnel by these standards, and

7.2.1.4 Training of personnel.

NOTE 1—The *equivalent* requirement is for the purpose of recognizing those persons who may have a comparable educational background that has been obtained through recognized and qualified educational resources but does not result in the award of a baccalaureate degree. The use of this term will necessarily require the judgement of the user of this guide. Certification by acknowledged professional boards is encouraged.

7.2.2 *The Laboratory Supervisor*—The laboratory supervisor should be a full time employee of the organization that operates the laboratory, and should have a minimum of an earned baccalaureate degree in science or engineering from an accredited college, university, or the equivalent (see **Note 1**), and a minimum of one year analytical responsibility.

7.2.3 *The Senior Staff*—The senior staff of the laboratory should conduct the difficult and nonroutine sampling and analyses and should directly supervise the technical staff. Each member of the senior staff should have a minimum of a baccalaureate degree in science or engineering from an accredited college or university or the equivalent (see **Note 1**).

7.2.4 *The Technical Staff*—The technical staff will normally consist of qualified personnel who conduct routine sampling and analyses and may also include highly trained and qualified people who specialize in difficult procedures.

7.2.4.1 Each member of the technical staff should have formal, on-the-job training in the analyses and areas of assigned responsibility. Training should be provided on-site, and in many cases should be supplemented by short courses offered by equipment manufacturers, professional organizations, universities, or other qualified training facilities.

7.2.4.2 After appropriate training, the staff member must demonstrate acceptable results in the analysis of an applicable quality control or performance evaluation sample.

7.2.5 *The Support Staff*—The support staff will normally consist of personnel who perform routine services such as: cleaning glassware, transportation and handling samples and equipment, maintenance of sampling equipment, and clerical and secretarial services.

7.2.5.1 Each member of the support staff should have sufficient on-the-job training for his or her level of responsibility as defined by the laboratory director.

7.3 Physical Resources

7.3.1 The laboratory environment can affect the results of analyses which are intended to describe the character of atmospheres and emissions; therefore, the laboratory facility should be carefully considered.

7.3.2 The specific items of equipment and apparatus that are needed for the performance of standard methods are described in those standards. If the laboratory proposes to perform a procedure, it should possess the specified items of equipment and apparatus.

7.3.3 The laboratory should be kept as free from interference as is necessary to avoid contamination of the samples. This may require such precautions as sweeping the floor carefully with a compound to suppress dust, periodically coating the floor with an inert material, vacuuming or scrubbing walls, floors, benches, and equipment, and wearing

³ The boldface numbers in parentheses refer to the references at the end of this standard.